## PART B - FEE(S) TRANSMITTAL

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Mail Stop ISSUE FEE **Commissioner for Patents** 

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Alexandria, Virginia 22313-1450 (703) 746-4000 or <u>Fax</u> INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate only further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for enance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 7590 02/15/2005 SONNENSCHEIN NATH & ROSENTHAL LLP Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below. P.O. BOX 061080 WACKER DRIVE STATION, SEARS TOWER CHICAGO, IL 60606-1080 05/06/2005 HLE444 00000001 10764636 Metzger (Signatur 01 FC:1501 1400.00 OP 02 FC:1504 (Date) 300.00 DP April 03 FC:8001 6.00 NP FIRST NAMED INVENTOR CONFIRMATION NO. ATTORNEY DOCKET NO APPLICATION NO. FILING DATE 09792909-5769 9102 01/26/2004 Kenji Yamamoto 10/764.636 TITLE OF INVENTION: OPTICAL PICKUP DEVICE AND OPTICAL RECORDING AND REPRODUCING APPARATUS INCLUDING THE OPTICAL PICKUP **DEVICE** DATE DUE ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE APPLN. TYPE SMALL ENTITY \$1400 \$300 \$1700 05/16/2005 nonprovisional **EXAMINER** ART UNIT **CLASS-SUBCLASS** 2655 369-112230 HINDI, NABIL Z 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Sonnenschein, Nath (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, Rosenthal LLP ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Sony Corporation Tokyo, Japan ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed: Issue Fee A check in the amount of the fee(s) is enclosed. ₩ Payment by credit card. Form PTO-2038 is attached. any deficiency Publication Fee (No small entity discount permitted) The Director is hereby authorized by charge the required recess, or credit any overpayment, to Advance Order - # of Copies \_ (enclose an extra copy of this form). Deposit Account Number 19-3140 5. Change in Entity Status (from status indicated above)

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the fecords of the United States Patent and Trademark Office.

Authorized Signature Typed or printed name

David R. Metzger April 29,

32,919 Registration No.

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.